The Egalitarian

Danielle Allen’s mission to return equality to the heart of American democracy

by SPENCER LENFIELD
At the moment, no book is more visible or abundant at the gift shop of the National Archives in Washington, D.C., where more than a million visitors a year come to view the earliest copies of America’s founding documents, than Our Declaration—the most recent work by Danielle Allen, Ph.D. ’01. The title, appealing boldly to a spirit of national wholeness, is so prominent that it’s easy to overlook the argumentative note in its smaller subtitle: A Reading of the Declaration of Independence in Defense of Equality.

Allen, a recently appointed professor of government and director of Harvard’s Safra Center for Ethics, writes that in the past century, equality has been pushed to the side—by philosophers, politicians, and laypeople—in favor of its sibling, liberty: “I routinely hear from students that the ideals of freedom and equality contradict each other.” She rejects this notion that liberty and equality are on a seesaw, that one can rise only at the expense of the other. Instead, she contends, “Equality is the bedrock of freedom.” Her evidence? The Declaration of Independence, read line by line as a masterpiece of plain-language philosophy. The Declaration’s authors, she contends, were far from being libertarians in the modern sense. To the contrary: they were proud and eloquent egalitarians.

“These days too many of us think that to say two things are ‘equal’ is to say that they are ‘the same,’” writes Allen. But this is untrue: “To be ‘the same’ is to be ‘identical.’ But to be ‘equal’ is to have an equivalent degree of some specific quality.” Allen sees in the Declaration a careful case that the specific quality in question—what she calls “the fundamental feature of human equality”—is the ability to judge what makes one happy. We are all equal in our ability to judge our own happiness. It is only on top of this basic premise that the founders were able to build their argument for independence: we are free to decide what government we want to have because government is a means to securing happiness—the happiness which each of us is equally well qualified to judge.

Our Declaration was praised by magazines as ideologically different as Dissent and National Review, and colleagues have responded to it as a serious work of political thought. But Allen didn’t write it to intervene in academic political philosophy. Instead, it grew out of her experience teaching the Declaration in night classes at the University of Chicago to people with busy lives, children, sometimes multiple jobs.

The experience revealed to her that the Declaration, read carefully, does philosophy in ordinary (if old-fashioned and highly rhetorical) language, laying the conceptual groundwork for the democracy to come. Moreover, she realized, anyone with sufficient patience and desire could read it. “I wanted [my students] to understand that democratic power belonged to them, too, that they had its sources inside themselves,” she writes. “I wanted to animate the Declaration, to bring it to life for them, and perhaps even bring them through it into a different kind of life—as citizens, as thinkers, as political deliberators and decision makers.”

She set out to write a book free of footnotes and big words that anyone, down to a middle-school student, could read. She now says that she fell short of the mark: “The book is accessible to upper-level high-school students, and parts of it are accessible to younger students. But it’s unlikely that younger students are going to read [it] from start to finish.”

That Allen is concerned with reaching sixth-graders at all sets her apart from most contemporary political philosophers. Our Declaration is only the beginning of the project: Allen is convinced that philosophers can affect the way the world works by rewireing the ideas with which we think and speak. Plato did this, she argues in one book. And the Declaration’s authors wrote with philosophical rigor for the broadest possible audience—“a candid world,” in their own words. If rehabilitating the concept of equality in today’s candid world involves not just writing books and giving lectures, but also designing video games and tweeting, then Allen will do so, engaging on every front she can.

Toward an Egalitarian Participatory Democracy

Reading Our Declaration offers a window into the life of its author—both because Allen writes a good deal about her own life and upbringing, and because her approach to scrutinizing the Declaration pulls together the diverse elements of her intellectual life.

The book is a textual commentary, a genre used chiefly by classicists. Allen majored in classics at Princeton; received her first doctorate, in classics, from Cambridge, where she was a Marshall Scholar; has written at length about Athenian democracy and Plato; and taught in Chicago’s classics department for 10 years, serving as dean of the humanities for three.

It is a work of political philosophy as well. After her time at Cambridge, she completed her second doctorate, in government, at Harvard (while still teaching classics at Chicago). That dissertation yielded the ambitious yet compact Talking to Strangers: Anxieties of Citizenship Since Brown v Board of Education (see page 45); the MacArthur Foundation, citing the book, awarded her a “genius grant” in 2001, when she was 29, for her work in both political theory and classics. At the Institute for Advanced Study, her academic home from 2007 to mid 2015, she served on the faculty of the School of Social Science. Back at Harvard, in her current joint appointment with the Graduate School of Education, she works on the philosophy of education.

Our Declaration is also a close reading of the kind normally applied to literature, itself written with a literary care characteristic of all Allen’s books. She’s a lover of poetry in general, and contemporary poetry in particular, who has reviewed Anne Carson, interviewed Frank Bidart, A.M. ’67, and served on the Pulitzer...
Prize board from 2007 to 2015. She once commented, in an interview with the online journal *The Art of Theory*, that she came away from writing the book wishing that contemporary philosophers had “a bit more of the poet in them, a somewhat deeper understanding of metaphor and its power to transform imaginative landscapes. This is not to say that political philosophers or public philosophers should be casting aside argument, not at all. The point rather is that metaphor can help clear a field for argument to plow and sow.”

As noted, the book is aimed by its humanist polymath author at citizens at large, not merely fellow academics. Alongside her intellectual achievements, Allen has maintained a steadfast commitment to engaging with the world outside the university. Some of that engagement involves writing; she is now an opinion columnist for *The Washington Post*. It’s not unusual to see her responding point-by-point to her critics on Twitter. She’s also politically active, working briefly in the 2008 Obama campaign as a field organizer and special-projects manager in southern California, where she grew up, and advising the Labour Party of the United Kingdom. But most conspicuously, she has been extensively involved in the communities where she has worked during her career—in Chicago in particular, where she launched the Civic Knowledge Project, an initiative to open the resources of the University of Chicago, especially in the humanities, to the largely low-income and minority community of the South Side. (She first proposed such outreach in the form of an open letter to the university’s faculty senate at the conclusion of *Talking to Strangers*—capping her most theoretical book with 10 pages of specific policy proposals.)

The style of *Our Declaration* captures certain aspects of what it’s like to talk with its author. Allen has an easygoing demeanor and a wide smile, yet speaks with a flowing urgency in neatly structured, lucidly reasoned paragraphs that suggest she could have dictated her books in a single well-considered draft.

“I believe that the language of the Declaration of Independence does give us a lot of what we need by way of symbolic supports for a commitment to equality,” she explains in her Safra Center office. Allen believes that the Declaration operates not just on the deliberative plane analyzed by many political scientists, but also serves as what she calls *prophetic speech*—“language which engages with our values and our commitments,” in the mold of Martin Luther King Jr.’s speeches and writings. *Our Declaration* aims to rely on the “existing resource” of the Declaration to try to revive the nation’s commitment to equality.

In a January-February 2016 essay for *Foreign Affairs* building on the work of Michael Walzer, Ph.D. ’62, Allen acknowledges that there are several kinds of equality—moral, political, social, economic—that must be balanced in a “virtuous circle,” where each feeds the others. “Political equality ultimately rests not on the right to vote or the right to hold office but on the rights of association and free expression,” she writes. But the same rights that are necessary for human dignity also inevitably generate and protect the wealth disparities of capitalism and certain kinds of social discrimination. As a result, she believes society needs structures that help avoid the emergence of phenomena, like a caste system or economic exploitation, that undermine the basic project of political equality.

Allen calls the social vision that incorporates her work on equality “egalitarian participatory democracy.” It evolved out of a belief that civic republicanism and liberalism, the most robust traditions of thought addressing democratic political equality, needed modification in order to work in a diverse modern society. Allen is trying to answer the same questions about liberty—about freedom from domination, and freedom to participate—that concern proponents of civic republicanism and liberalism, but says that, given the contemporary American context of great diversity, “the only way in which all the different parts of a population can be protected is if we focus first on political equality.” Her egalitarian participatory democracy is “participatory like civic republi-
“I realized, in thinking about Athenian democracy, that I could really sharpen my skills and capacities for thinking about politics” in the contemporary context.

canism; it’s egalitarian like a combination of civic republicanism and liberalism, but the emphasis is on democracy in order to underscore how important it is to secure political equality for everybody as the underpinning for achieving both kinds of freedom: the republican freedom and the liberal freedom.”

The Accidental Classicist

On paper, it is not immediately clear that Danielle Allen, classical historian, would someday become Danielle Allen, political theorist and philosopher of education. But to her, politics was the plan and classics was the surprise: “I never had any intention to be a classicist,” she avers, almost as if apologizing to herself. But she did have exactly the right background for it when she arrived as an undergraduate at Princeton in 1989. Her father, William Allen, was a professor in the government department at Harvey Mudd College in Claremont, California, where she grew up; he saw to it that she had Latin from fourth grade on, and went as far as running for the school board while she was in high school, to make sure it remained in the curriculum. (Once she graduated, he stepped down, and Latin disappeared.)

Still, Allen had every intention of majoring in political science in college. That changed only when she took a course on Athenian democracy with ancient historian Josiah Ober (then in his first year in Princeton’s classics department, now at Stanford), “one of the best teachers I have ever had.” He convinced her to major in classics while taking just as many courses in politics. (At the time, Princeton didn’t permit double majors.) “She was a pretty remarkable standout right away,” Ober remembers. “She was unsatisfied with the standard answers and kept on wanting to go further than the existing literature seemed to take her. We were encouraging her because she did have such a love” for Greek history and a “literary sensibility that isn’t transferable to the contemporary context, even though it’s this huge, much more sociologically confounding landscape.” Ober adds that Allen’s belief in a pragmatic, contemporary-minded approach to history shaped his own thinking to a degree that was remarkable given her age at the time: “Each of us has a real passion for history for its own sake, for getting things right about the past. But I think we agreed early on, and encouraged each other, in this immediately collegial relationship, that that’s not enough, that having a deep love for something as specialized and almost otherworldly as classical history—neither of us felt that, for our purposes, it was enough to justify a career.”

The thesis project that Allen took up as an undergraduate—did the Athenians ever use prison as a punishment?—expanded into her Ph.D. dissertation at Cambridge, which in turn became her first book. The World of Prometheus examined a much broader set of questions about punishment in classical Athens, including: How did one person punish another? Who did the punishing, and what reasons were seen as legitimate? What forms did punishment take? Her approach infused a topic that had previously focused mainly on procedures and institutions with theoretical insights from sociology and modern history. It also marshaled a dazzling array of evidence from nearly every genre of Athenian writing: historiography, oratory, drama, and philosophy. (Allen says that one joy of working on the “case study” of Athens is that it is possible to read all the available evidence, and Prometheus leaves little doubt that she has done so.)

That range of sources has become a hallmark of her writing about and beyond classics, demonstrating her belief in the importance of rhetoric, sensitive critical attention to works of art and literature, and, most of all, her conviction that normative philosophy—philosophy that addresses questions of “What should we do?” or “How should we act?”—is not merely a theoretical endeavor, but can make practical interventions in a dynamic society. The final section of Prometheus analyzes Plato and Aristotle’s respective attempts to challenge and reform the Athenian understanding of punishment, specifically by developing new conceptual vocabulary for thinking about the issue: in Plato’s case, by using words for making sense of punishment as correction; in Aristotle’s, by examining what it means to “deserve” a punishment.

Allen’s 2010 book Why Plato Wrote, which grew out of lectures delivered at the University of Bristol, advances that project a step further. Her vision of Plato as an intellectually fully engaged in an attempt to transform his political community, who wielded language with tremendous artfulness as his chief tool for changing minds, challenges the long-held vision of many readers that sees Greek philosophers as willfully detached from public life: Socrates shunning politics to preserve his own virtue, Aristotle contrasting lives of contemplation and politics.

Allen also proposes a vision of what a modern political philosopher might hope to do, and how. She sets Plato’s notorious attack on writing in the Phaedrus against his defense of writing as a philosophical tool in the Republic, and from the argument implicit between the two works, constructs a vision of how Plato justified his literary endeavors. Allen argues that in Plato’s view, language and writing are vital parts of human life, but some symbols lead toward knowledge, others away from it—so the philosopher has a responsibility to traffic in good symbols. Moreover, by constructing models with those symbols and then recording them in writing, the philosopher can convince readers to act as if they knew the truth, and eventually lead them down the road to actually knowing it.

But that’s only the first blow in the book’s one-two punch. Allen proceeds to argue that Plato wrote with the motive of affecting his contemporaries’ actual political behavior—and that he succeeded in doing so. Having examined the surviving speeches concerning the Athenian response to the rise of Macedon in the fourth century B.C., she builds an elaborate argument (hinging
at points on minute particulars of historical events) that defies easy summary but leads her to conclude that technical vocabulary from Plato's teachings and writings percolated into fierce policy debates. Adherence to Platonism, she contends, became grounds for suspicion in the political contests involving some of the city's most famous orators: Demosthenes, Aeschines, Lycurgus. She draws from this “culture war” a pointed lesson that pushes back against the materialist interpretation of ancient Greek history (prominent in the mid-twentieth century), which emphasized economic and social realities as the basis of cultural phenomena. Rather, Allen vigorously maintains that ideas have the potential to be independent sources of change.

Allen has long believed that carefully examining the alien concepts of the ancient world can sharpen contemporary readers' sense of their own times. Although she hasn't published about equality in classical Athens, she has clearly thought about it in depth. “I think the most interesting expression for equality that [the Athenians] used was a phrase about the importance of ‘having a share in the constitution’—metechen tês politicas,” she reflects. “That idea, that at the heart of equality is human beings' political capacity for self-government and collective decisionmaking, remains the center of the concept until the late eighteenth and early nineteenth century.” A cardinal moment in articulating the transition away from that concept was Benjamin Constant’s 1816 essay “The Liberty of the Ancients Compared with That of the Moderns,” which proposed that in contrast to the ancients, for whom freedom was a matter of being able to participate in government, modern citizens are too preoccupied with commerce and other aspects of private life to have time to do so. That transition, for her, creates “a fundamental problem—because if you cease to think front-and-center about guaranteeing a share in the constitution to everybody in a real, lived, enacted sense, then those populations who don't have that share become vulnerable to various forms of oppression and domination.” As a result, she says, “I don't think we can really deal with our various justice questions—concerns of social justice that people raise—if we don't in the first instance restore the idea that everybody needs a share in the constitution.”

From Allen's undergraduate days to the present, many students have reacted against the bodies of literature that most engage her—ancient literature and political theory—as an unrepresentative procession of white men. Allen stands out in the context of both classics and political theory as a talented and visible academic who also happens to be a black woman—a professor to whom an undergraduate feeling marginalized on account of gender or race might look for guidance.

What advice does she give such students, in light of her own long involvement with the likes of Plato, Hobbes, and Jefferson? “I try to model a way of being in the world where there's no intellectual resource that's off limits to me,” she explains. “Why would I want to put any intellectual resource off limits to myself? It's for me to determine what I do with those resources. They don't define me, by any stretch of the imagination. They are nothing but the feasts that the world as a whole, across the globe and over time, has prepared for us. And why not consume as much as possible, and then decide what you want to do with it?”

Building Trust in an Era of Division
For all her professional training in ancient history and philosophy, Allen's intellectual self-identification rests elsewhere and, she says, always has. In a brief essay for Critical Inquiry in 2004, she wrote, “I have always thought of myself first as an analyst of politics and second as a literary critic”—an unusual conjunction of roles. She names as her heroes George Orwell, Hannah Arendt, and Ralph Ellison, all of whom had “a combination of literary sensibility and political acuity” to which she aspires. In her view (which fits nicely with her reading of Plato), politics is made, substantially, out of words, so any interpreter of politics has to engage with the rhetorical quality of public life—a quality which seems all the more important at the current moment in American politics.

Both her political and literary sides have roots going back to childhood. Allen writes briefly in the early chapters of Our Declaration about growing up in a household saturated with books, thanks to her Latin-loving father and her mother, Susan, a librarian. “In my childhood, at an early point, we twice read the Bible through from
start to finish,” she writes—out loud, one chapter per night. When she was seven, her family moved to France for a sabbatical year, and she was allowed to take two books: both of hers were volumes of poetry. “I don’t know how I knew this, but I knew that they would just last me longer,” she says. Her love of poetry has been lifelong: dismayed to find that the University of Chicago had no poetry series, she created one, inviting students, South Side residents, and career poets into the same space to read their work.

Allen’s father was politically active when she was growing up, serving first on the National Council on the Humanities, and then as chair of the Commission on Civil Rights for an extremely turbulent 14-month period late in the second term of President Ronald Reagan and the early George H.W. Bush administration. A 2013 profile in The Guardian characterized her as quite conservative well into her undergraduate years; she was an intern at National Review. By her account, it wasn’t until the summer of her junior year that contemplating statistics on income inequality in America led her to reconsider her political beliefs, beginning what would become a major transformation.

There was a period of time after this when she didn’t talk to her family much about politics: “I needed to establish my independence first, before I could re-engage.” Now, she says with a smile, “They tolerate me!…We have good conversations. We don’t always agree, but we still agree about some things.” She adds that some of her most dramatic childhood memories are of explosive arguments at family gatherings between her father and his sister, who ran for office in California on the Peace and Freedom Party ticket. “The Reagan conservative and the Peace and Freedom Party person” she says, laughing. “As I started to change my opinions about certain things,” Allen says now, “she was a kind of anchor for me about how to hold my own in political debate.”

Allen stands out among progressive commentators for her even-handedness and generosity toward conservatives.

As a commentator, Allen stands out among progressive writers for her even-handedness and generosity toward conservatives. She has been unsparring about the candidacy of Donald Trump—though she will argue with rationality and great restraint with individual Trump supporters—but her columns otherwise contain little in the way of the gripes, insults, or insinuations about Republicans destroying the country in which some liberal op-ed writers traffic. Past columns on issues like government power and drug policy take pains to build middle ground between left and right. Her writing reads like the product of a more civilized, less polarized time, when American communities were sufficiently purple to show themselves to be trustworthy, a free society can’t lose. That means democracies need good ways to moderate, acknowledge, and appreciate those sacrifices, while assuring reciprocity of sacrifice in the long term. How is this possible? Allen crafts her solution out of materials drawn largely from Aristotle. She thinks that citizens in a democracy should think of themselves as friends, not out of love, but out of utility: they are in a project together, and that project works best when they can presume each other’s good intentions. And rhetoric (a good thing, she insists) at its best can forge that trust, making it possible to talk to strangers as equals, respecting what they have to give, and signaling a willingness to give in return.

Even in 2004, the book’s argument provoked some skeptical reactions: what about people who just want to be offensive or domineering, or benefit from the disempowerment of other groups—and whom no amount of reasoning will change? Talking to Strangers looks even more sanguine when seen against the background of everything that has happened since its publication: Trayvon Martin, the death of immigration reform, Ferguson, Charleston, the incendiary and exclusionary rhetoric of the current presidential primary campaign.

Allen stands by her conclusions. She believes that strong institutions matter here—that “the more a society’s institutions generate the possibility of the formation of bridging ties across cleavages and lines of difference, the more likely it is that the society will have egalitarian outcomes in domains like health, the labor market, education and so forth.” Where trust and rhetoric are concerned, she argues that even though citizens have a responsibility to show themselves to be trustworthy, a free society can’t make them speak respectfully. But, she adds, “You can call out problematic behavior in an assertive way without yourself becoming untrustworthy,” when dealing with intentionally offensive citizens, who often seem not to understand that their right to offend others doesn’t immunize them from objections to their own speech.

Education and Equality

Allen’s appointment at Harvard’s Safra Center this past fall, a year before its thirtieth anniversary, was in keeping with the
institute’s original concerns: how to connect moral theory with ethical practice. Founded in 1986 as the Program in Ethics and the Professions, it was envisioned by former Harvard president Derek Bok as a means to bring philosophers into dialogue with professionals, starting within the University, but quickly reaching, he hoped, into the world at large. It was Harvard’s first program to bridge schools internally, as well as the first major interdisciplinary ethics initiative at any university. As director, Allen succeeded Lawrence Lessig, Furman professor of law and leadership, who for five years led the Center in an extended laboratory-style project of research into the problem of institutional corruption.

Allen envisions keeping the structure introduced by Lessig, but changing the themes every year or two. Her inaugural project is “Diversity, Justice, and Democracy”—all topics of enduring concern from her doctoral thesis to her 2014 Tanner Lectures at Stanford (to be published this June as Education and Equality). She defines these topics broadly: “From my point of view, anything that’s about civic education in America in 2016”—the subject of one

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Safra fellow’s lunchtime talk—“has to be about diversity to some extent, because this country is living through such a remarkable demographic transition.” She is eager to amplify the voices of Safra fellows in the public sphere through op-eds, magazine articles, and social media. “We call what we’re doing here the Web of Conversation,” she says. “Whatever we’re talking about here, we’re trying to make sure it extends out into the world more broadly.”

The other major project she is eager to tackle in her new post returns the center to its roots in curricular design. With the College refurbishing its General Education system (see page 31), the Business School reviewing its ethics training, the Medical School launching a master’s degree in bioethics, and the Graduate School of Education considering development of an ethics curriculum, her eyes light up: “This is an amazing moment.”

Talking to Strangers led Allen to her current role at the education school. To her mild surprise, one of the biggest audiences for the book turned out to be teachers: “I discovered that the things I was working on—about healthy social interactions, civic relationships, generating trust in conditions of diversity—are front-and-center for K-12 teachers. So ever since then, I’ve had one foot in that pedagogical world.” Education and Equality attempts to lay out a comprehensive philosophical justification for schooling. She proposes that the economic and egalitarian benefits that societies (and their politicians) seek from education—higher individual incomes and national productivity, along with greater social mobility and equality of opportunity—can best be assessed and structured by pursuing education because it enables full human development, as understood along the lines of Aristotle’s concept of eudaimonia: activity of mind in accordance with excellence. It’s another enormous project streamlined into a slim volume.

Educational practices have been on her mind in more ways than one lately. Since moving to Cambridge, Allen has enrolled her kindergartner in the city’s public schools, and her preschooler will enroll next year; all of them ride the MBTA bus to school together most mornings. Allen says that she and her husband, James Doyle (a lecturer in the philosophy department), see their children’s education in terms of what experiences are hardest to replicate within the home. “My basic take on the matter is that there’s no school that can give your child comprehensive education, and the family has to take responsibility for all the things that the school isn’t doing,” she explains. “So for us, the question was: did we want to be in the public schools and get the best academic education the children could out of the public schools, plus a truly excellent social education, and compensate to some extent on the academic side of things ourselves? Or did we want to be in a private school and get perhaps a top-of-the-line academic institution, but from my point of view, an insufficient social education? I don’t think it’s possible to compensate for the insufficient social education that you get at an elite private school. So we went for public schools.”

Different kinds of educational challenges await as well. Concerned about her failure to reach a middle-school audience with

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